



SA WINE  
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TRANSFORMATION

**UNIT** NPC

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# **SA Wine Industry Transformation Unit NPC**

## **ANNUAL PROGRAMME STATEMENT NON PROFIT ORGANISATIONS AND NON GOVERNMENT ORGANISATIONS**

(Stakeholders seeking to advance Black People and Black Women  
in the workplace)

**Financial Year – 2019**

## **1. Background, Purpose and Functions of the SA Wine Industry Transformation Unit**

The SA Wine Industry Transformation Unit (TU) is a Non - Profit Company Incorporated under the first schedule of the Companies Act of the Republic of South Africa. The TU was incorporated on 17 October 2016 by its founding members, the National Agricultural Marketing Council (NAMC), Vinpro and SA Liquor Brand Owners Association (SALBA), to carry out the following purpose;

**The purpose of the company**, as set forth in its Memorandum of Incorporation (17/10/2016) is as follows;

- 1.1 To Generate and promote equitable access and participation within the wine value chain in and for the Wine Industry;
- 1.2 To strengthen and accelerate the development of the operational and financial capacity of wine farms and businesses owned by Black People throughout the value chain in and for the Wine Industry;
- 1.3 To increase representation of Black People, with a specific focus on black women, at management level in wine cellars, wine businesses and industry organisations through industry programmes for human development in and for the Wine Industry;
- 1.4 To promote ethical practices within its community of stakeholders (including workers) through the implementation of well-integrated ethical trade programmes throughout the Wine Industry;
- 1.5 To become proactively involved in the upliftment and empowerment of workers and communities comprising of Black People within the Wine Industry
- 1.6 To promote socially responsible consumption of the produce of the vine;
- 1.7 To assist in the facilitation of an all-inclusive social compact for the Wine Industry
- 1.8 To implement and receive Levies as principal and/or agent, payable by different interest groups in the Wine Industry for purposes of the above-mentioned objects;
- 1.9 To carry out in general administrative tasks and functions in the furtherance of its objects.

In keeping with statutory requirements in terms of categories of allowable spending of statutory levy funding, reference is made to the 2018 NAMC Generic Transformation Guidelines available online at <http://www.namc.co.za/wp-content/uploads/2018/06/NAMC-Generic-Transformation-Guidelines-as-amended-in-2018.pdf>. Though examples of support presented in these generic guidelines might differ from sector to sector, the TU commits itself to the agreed categories of spending in these Guidelines and in strict alignment to the revised Agri-BEE codes available online at <https://bbbeecommission.co.za/wp-content/uploads/2017/12/AgriBEE-Final-08Dec2017.pdf>.

## **2. Background and Purpose of the Annual Programme Statement**

Guided by its Memorandum of Incorporation and a Ministerial Mandate set forth in terms of a four year statutory levy approval dated 9 November 2017, the TU seeks from time to time to solicit proposals for funding and services to B-BBEE Level 1 and 2 stakeholders. Funding is



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granted to service providers or directly to businesses who can best effect the desired outputs defined in section 1 above. The Purpose of the Annual Programme Statement is as follows;

- 2.1** To convey the latest set of programme and funding intentions of the TU as solicited through input from Black Businesses, Labour, Wine Producers and Grape Producers;
- 2.2** To convey the most recent set of funding rules as approved by the TU which pertain to;
  - 2.2.1** Application Formats;
  - 2.2.2** Programme Definitions;
  - 2.2.3** Required Supporting Documents;
  - 2.2.4** Fiduciary Registration Criteria for Applicants;
  - 2.2.5** Application Adjudication Procedures
- 2.3** To convey the TU calendar in terms of;
  - 2.3.1** Application deadlines
  - 2.3.2** Stakeholder engagement dates
  - 2.3.3** Stakeholder events that the TU might support
- 2.4** To provide clarity in terms of service providers and businesses that might qualify for funding or services from the TU.

### **3. Funding Rules**

The most recent version of the TU Funding Rules (2019) is attached hereto as "Attachment 1.". The rules are developed by the TU in consultation with affected stakeholders. They are strictly alignment to the NAMC Generic Guidelines of 2018 and the Agri-BEE sector codes as referenced in section 1 of this document. All Applicants are to adhere to the rules stated in Attachment 1.

### **4. Call for proposals by category of support**

The following categories of organization are invited to apply to the TU for funding. Applicants are urged to read the full set of funding rules attached hereto as Attachment 1 before embarking on an application process.

#### **4.1 Stakeholders seeking to advance Black People and Black Women in the workplace**

Applicants are invited to complete the application form marked Attachment 3 hereto, should they seek to apply for funding that will assist in the following priority areas;

- Advancement of black women, or other black designated groups to management level in wine cellars, wine businesses and industry organisations through industry programmes for human development for the Wine Industry;
- Promotion of ethical practices within its community of stakeholders (including workers) through the support of well-integrated ethical trade programmes throughout the Wine Industry;
- Upliftment and empowerment of workers and communities comprising of Black People within the Wine Industry.

#### **4.1.1 Eligibility for funding**

Organizations with a track record in effecting the above three areas of support, who can demonstrate the following;

- i. Proof of registration
- ii. A transparent ownership structure;
- iii. Project oriented funding approach as opposed to administrative overhead costs;
- iv. Certified Identity Documents of shareholders and beneficiaries(Individuals);
- v. B-BBEE certificate or Affidavit reflecting either level 1 or level 2 B-BBEE contribution;
- vi. Two years Audited Financial Statements;
- vii. Clean audit track record



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TRANSFORMATION

**UNIT** NPC

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- viii. Past outcomes that reflect that the chosen mode of training or other support can result in the TU meeting its objectives;
- ix. Transparent Annual Programme Reporting Processes
- x. Past outcomes that reflect that the Applicant has shown impact from grants received.
- xi. Tax Clearance Certificate / Tax exemption certificate
- xii. Subjection to due diligence process for directors and service providers

#### 4.1.2 Level of funding

The level of funding is expected to be capped at a maximum budget that has been approved by the organization unless otherwise waived by the board of directors for plausible reasons and by special resolution of the TU board of directors;

#### 4.1.3 Category of funding support allowed

- i. **Socio Economic Development** as illustrated on page 8 of the NAMC Guidelines and pages 2 to 5 of the attached TU Funding rules (2018).
- ii. **Skills Development initiatives** as illustrated on page 8 of the NAMC Guidelines and pages 2 to 5 of the attached TU Funding rules (2018).
- iii. **Bursaries** that can enhance the objectives stated under this set of stakeholders.

## 5. Communication and Process Deadlines Dates

### 5.1 Information Sessions

Information sessions to be held	
Black Owned Enterprise Development	3 September 2019
Black Farmers Development	5 September 2019
Skills Development and Socio Economic Development	8 November 2019

### 5.2 Application Process deadline dates

- All applications from Trade unions, NGO and NPO to be received at least one month in advance of board meetings. This is to allow all board members to evaluate the proposal and allow adequate time to request more information, if required.

**There are no closing deadline date on applications.**

- TU Board meeting dates are available from the TU offices at [brunhilda@witu.co.za](mailto:brunhilda@witu.co.za)
- Approval is dependent on availability of funds and budget.
- Approvals remain at the discretion of the TU board.

**Any related enquiries can be addressed in writing to Wendy Petersen at [wendy@witu.co.za](mailto:wendy@witu.co.za)**

#### **Wendy Petersen**

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